

IDS Form PTO/SB/08: Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/975,241
(Use as many sheets as necessary)				Filing Date	October 12, 2001
Sheet	1	of	5	First Named Inventor	John Polk
				Art Unit	3691
				Examiner Name	Hani M. Kazimi
				Attorney Docket Number	06556.0003-04000

**U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS**

Examiner Initials	Cite No. ¹	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1	5,917,965	06-29-1999	Cahill et al.	
	2	5,946,669	08-31-1999	Polk	
	3	6,119,107	09-12-2000	Polk	
	4	6,567,821	05-20-2003	Polk	
	5	6,615,190	09-02-2003	Slater	
	6	7,072,909	07-04-2006	Polk	
	7	7,165,049	01-16-2007	Slater	
	8	7,174,315	02-06-2007	Phillips et al.	
	9	7,225,155	05-29-2007	Polk	
	10	7,317,823	01-08-2008	Price et al.	

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

NONPATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ⁶
	11	U.S. District Court of Delaware Civil Action No. 08cv00189-UNA; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Complaint for Declaratory Judgment and Patent Infringement, (04/04/08), (33 pp.).	
	12	U.S. District Court of Delaware Civil Action No. 08cv00189-UNA; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Letter dated November 7, 2007 from ACS State and Local Solutions, Inc. to JPMorgan Chase & Co. regarding payment processing patents held by ACS State & Local Solutions, Inc., (1 p.), (Exhibit I).	
	13	U.S. District Court of Delaware Civil Action No. 08cv00189-UNA; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Letter dated 11/19/07 from JPMorgan Chase & Co., to ACS State and Local Solutions, Inc. regarding payment processing patents held by ACS State & Local Solutions, Inc. and Federal Express Receipt Tracking No. 790385857370, (2 pp.), (Exhibit J).	
	14	U.S. District Court of Delaware Civil Action No. 08cv00189-UNA; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Payment Processing Patents - Lotus Notes, 11/21/07, (1 p.), (Exhibit K).	
	15	U.S. District Court of Delaware Civil Action No. 08cv00189-UNA; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - EPPICard - the safe and secure way to access your payments!, www.eppicard.com ; (04/03/08) (1 p.); Civil Cover Sheet (2 pp.); Civil Action No. 08-189, Acknowledgement Of Receipt for AO Form 85, Notice of Availability of a United States Magistrate Judge to Exercise Jurisdiction, (1 p.), (Exhibit L).	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /H.K./

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NONPATENT LITERATURE DOCUMENTS					
16	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Answer to JPMorgan Chase's Complaint for Declaratory Judgment and Patent Infringement, (05/23/08), (26 pp.).				
17	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Answer to Counterclaims for Declaratory Judgment, (06/02/08), (6 pp.).				
18	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Defendant ACS's Unopposed Motion for Leave to Amend Answer and Counterclaims, (08/04/08), (4 pp.).				
19	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - First Amended Answer and Counterclaims to JPMorgan Chase's Complaint for Declaratory Judgment and Patent Infringement, (08/08/08), (28 pp.); Certificate of Service, (08/08/08), (1 pp.).				
20	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Answer to Amended Counterclaims for Declaratory Judgment and Patent Infringement, (09/22/08), (11 pp.).				
21	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Plaintiff's Motion for Leave to File Amended and Supplemental Complaint, (08/28/08), (3 pp.).				
22	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - First Amended and Supplemental Complaint for Declaratory Judgment and Patent Infringement, (08/28/08), (42 pp.).				
23	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - First Amended and Supplemental Complaint for Declaratory Judgment and Patent Infringement, (08/28/08), (47 pp.), (Exhibit 2).				
24	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - [Proposed] Order, (____/08), (2 pp.); Rule 7.1 Statement, (08/28/08), (2 pp.), (Exhibit 3).				
25	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Exhibits to First Amended and Supplemental Complaint for Declaratory Judgment and Patent Infringement - Volume 1 - Exhibits A-F, (08/28/08), (2 pp.).				
26	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Exhibits to First Amended and Supplemental Complaint for Declaratory Judgment and Patent Infringement - Volume 2 - Exhibits G-N, (08/28/08), (2 pp.).				
27	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Exhibits to First Amended and Supplemental Complaint for Declaratory Judgment and Patent Infringement - Volume 3 - Exhibits O-P, (08/28/08), (2 pp.).				
28	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Letter dated 03/11/08 from ACS State and Local Solutions, Inc. to Lona S. Gros, CPPB regarding ACS Response to RFP for File No.: O 51500 CP, Solicitation # 2223009 Centralized Collection Unit for the Department of Social Services, Office of Family Support, Support Enforcement Services, (filed 08/28/08), (325 pp.), (Exhibit O - Exhibit 1-11).				
29	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - States, Counties Automate Child Support Processing, 2008 Factiva, Inc., (08/01/96), (filed 08/28/08), (4 pp.), (Exhibit P).				
30	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Plaintiffs' Opening Brief in Support of Their Motion for Leave to File Amended and Supplemental Complaint, (08/28/08), (10 pp.).				

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<i>Art Unit</i>	3691
<i>Examiner Name</i>	Hani M. Kazimi
<i>Attorney Docket Number</i>	06556.0003-04000

NONPATENT LITERATURE DOCUMENTS

31	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Affiliated Computer Services, Inc. and ACS State & Local Solutions, Inc. Answer and Counterclaims to JPMorgan Chase's First Amended and Supplemental Complaint for Declaratory Judgment and Patent Infringement, (09/22/08), (34 pp.).	
32	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Answer to ACS's Counterclaims to JPMorgan's First Amended and Supplemental Complaint for Declaratory Judgment and Patent Infringement, (10/09/08), (12 pp.).	
33	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Defendant ACS's Motion for Leave to File First Amended Answer and Counterclaims to JPMorgan's First Amended and Supplemental Complaint, (12/10/08), (3 pp.).	
34	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - First Amended Answer and Counterclaims to JPMorgan Chase's First Amended and Supplemental Complaint for Declaratory Judgment and Patent Infringement, (12/10/08), (39 pp.), (Exhibit 1).	
35	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - First Amended Answer and Counterclaims to JPMorgan Chase's First Amended and Supplemental Complaint for Declaratory Judgment and Patent Infringement, (12/10/08), (44 pp.), (Exhibit 2).	
36	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - [Proposed] Order and Rule 7.1.1 Statement, (12/10/08), (5 pp.), (Exhibit 3).	
37	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Defendant ACS's Opening Brief in Support of Motion for Leave to File First Amended Answer and Counterclaims, (12/10/08), (12 pp.).	
38	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Plaintiff JPMorgan's Answering Brief in Opposition to Defendant ACS's Motion for Leave to File a First Amended Answer and Counterclaims, (01/09/09), (37 pp.).	
39	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Declaration of Stephen T. Schreiner, Esq., in Support of Plaintiff JPMorgan's Answering Brief in Opposition to Defendant ACS's Motion for Leave to File a First Amended Answer and Counterclaims, (01/09/09), (4 pp.).	
40	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Direct Express® Debit MasterCard®, Financial Management Service, www.fms.treas.gov/directexpresscard/index.html , (11/12/08), filed 01/09/09, (3 pp.), (Exhibit A).	
41	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Treasury Announces Direct Express® Debit Card for SSA and SSI Recipients, Financial Management Service, www.fms.treas.gov/afc/jan_article3.html , (2/21/08), filed 01/09/09, (3 pp.), (Exhibit B).	
42	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Direct Express®, Debit Card Services for Federal Benefit Recipients, Financial Agency Agreement Between The Financial Management Service U.S. Department of the Treasury and Comerica Bank, Effective Date: January 3, 2008, (44 pp.), (Exhibit C).	
43	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - eVerge Group - www.eVergeGroup.com - "eVerge Group Case Study - Affiliated Computer Services," (01/09/09), (2 pp.), (Exhibit D).	
44	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Supplemental Information Disclosure Statement and Substitute for Form 1449A PTO, (12/30/04), (filed 01/09/09), (14 pp.), (Exhibit E).	

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<i>Examiner Name</i>	Hani M. Kazimi
<i>Attorney Docket Number</i>	06556 0003-04000

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45	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Electronic Information Disclosure Statement, (01/09/09), (3 pp.), (Exhibit F).	
46	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Plaintiffs' Objections and Responses to Defendants' First Set of Requests for Production, (07/16/08), (48 pp.).	
47	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Plaintiffs' Objections and Responses to Defendants' First Amended Set of Requests for Production, (11/24/08), (46 pp.).	
48	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Plaintiffs' Objections and Responses to Defendants' Second Set of Requests for Production, (11/24/08), (55 pp.).	
49	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Plaintiffs' Objections and Responses to Defendants' Third Set of Requests for Production, (01/09/09), (18 pp.).	
50	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Plaintiffs' Objections and Responses to Defendants' First Set of Interrogatories, (08/04/08), (30 pp.).	
51	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Plaintiffs' Supplemental Objections and Responses to Defendants' Amended First Set of Interrogatories, (11/03/08), (24 pp.).	
52	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Plaintiffs' Objections and Responses to Defendants' Second Set of Interrogatories, (09/15/08), (19 pp.).	
53	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Plaintiffs' Supplemental Objections and Responses to Defendants' Amended Second Set of Interrogatories, (11/03/08), (17 pp.).	
54	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Plaintiffs' Objections and Responses to Defendants' Third Set of Interrogatories, (11/24/08), (17 pp.).	
55	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Plaintiffs' Initial Disclosures Pursuant to Fed. R. Civ. P. 26(a)(1), (08/01/08), (15 pp.).	
56	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Defendant ACS's Objections and Responses to Plaintiffs' First Set of Requests for Production of Documents to Defendants Affiliated Computer Services, Inc and ACS State & Local Solutions Inc., (07/17/08), (77 pp.).	
57	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Defendant ACS's Objections and Responses to Plaintiffs' Second Set of Requests for Production of Documents to Defendants Affiliated Computer Services, Inc. and ACS State & Local Solutions Inc., (11/07/08), (74 pp.).	
58	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - ACS's Objections and Responses to Plaintiffs' First Set of Interrogatories to Defendants Affiliated Computer Services, Inc. and ACS State & Local Solutions, Inc., (07/17/08), (37 pp.).	
59	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR; JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - ACS's Objections and Responses to Plaintiffs' First Amended and Consolidated Set of Interrogatories to Defendants Affiliated Computer Services, Inc. and ACS State & Local Solutions, Inc., (11/07/08), (36 pp.).	

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61	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR: JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Affiliated Computer Services, Inc. and ACS State & Local Solutions, Inc.'s Initial Disclosures. (08/01/08). (17 pp.).	
62	U.S. District Court of Delaware Civil Action No. 08cv00189-SLR: JPMorgan Chase & Co., et al. v. Affiliated Computer Services, Inc., et al. - Affiliated Computer Services, Inc. and ACS State & Local Solutions, Inc.'s First Supplemental Initial Disclosures. (01/12/09). (14 pp.).	

Examiner Signature	/Hani Kazimi/	Date Considered	05/11/2009
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Receipt date: 02/19/2009

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